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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No	38005-148
First Inventor	Bernd KIRSCHBAUM et al.
Title	IDENTIFICATION AND PURIFICATION OF HIGHER ORDER TRANSCRIPTION COMPLEXES FROM TRANSGENIC NON-HUMAN ANIMALS
Express Mail Label No.	

JC971 U.S. PTO  
09/849243  
05/07/01

APPLICATION ELEMENTS		ADDRESS TO:
See MPEP chapter 600 concerning utility patent application contents.		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 61] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R &amp; D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets ]</p> <p>5. Oath or Declaration [Total Pages 4] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>		<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p>
ACCOMPANYING APPLICATIONS PARTS		
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> parent Other: <u>Copy of Claim for Convention Priority from</u></p>		

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP)

of prior application No: 09 / 084,219

Prior application information: Examiner A. Baker

Group / Art Unit: 1632

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

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Name (Print/Type)	Patricia D. Granados	Registration No. (Attorney/Agent)	33,683
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Signature	Patricia D. Granados	Date	May 7, 2001
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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1176

Complete if Known	
Application Number	NEW
Filing Date	May 7, 2001
First Named Inventor	Bernd KIRSCHBAUM et al.
Examiner Name	A. Baker
Group / Art Unit	1632
Attorney Docket No.	38005-148

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																					
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number <span style="border: 1px solid black; padding: 2px;">08-1641</span>				3. ADDITIONAL FEES Large Entity      Small Entity Fee Code      Fee (\$ )      Fee Code      Fee (\$ )      Fee Description      Fee Paid 105      130      205      65      Surcharge - late filing fee or oath 127      50      227      25      Surcharge - late provisional filing fee or cover sheet. 139      130      139      130      Non-English specification 147      2,520      147      2,520      For filing a request for reexamination 112      920*      112      920*      Requesting publication of SIR prior to Examiner action 113      1,840*      113      1,840*      Requesting publication of SIR after Examiner action 115      110      215      55      Extension for reply within first month 116      390      216      195      Extension for reply within second month 117      890      217      445      Extension for reply within third month 118      1,390      218      695      Extension for reply within fourth month 128      1,890      228      945      Extension for reply within fifth month 119      310      219      155      Notice of Appeal 120      310      220      155      Filing a brief in support of an appeal 121      270      221      135      Request for oral hearing 138      1,510      138      1,510      Petition to institute a public use proceeding 140      110      240      55      Petition to revive – unavoidable 141      1,240      241      620      Petition to revive – unintentional 142      1,240      242      620      Utility issue fee (or reissue) 143      440      243      220      Design issue fee 144      600      244      300      Plant issue fee 122      130      122      130      Petitions to the Commissioner 123      130      123      130      Petitions related to provisional applications 126      180      126      180      Submission of Information Disclosure Stmt 581      40      581      40      Recording each patent assignment per property (times number of properties) 146      710      246      355      Filing a submission after final rejection (37 CFR § 1.129(a)) 149      710      249      355      For each additional invention to be examined (37 CFR § 1.129(b)) 179      710      279      355      Request for Continued Examination (RCE) 169      900      169      900      Request for expedited examination of a design application Other fee (specify) _____																																																					
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other																																																									
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1. BASIC FILING FEE <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th colspan="3">Fee Description</th> <th>Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$ )</th> <th>Fee Code</th> <th>Fee (\$ )</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>710</td> <td>201</td> <td>355</td> <td>Utility filing fee</td> <td><span style="border: 1px solid black; padding: 2px;">710</span></td> </tr> <tr> <td>106</td> <td>320</td> <td>206</td> <td>160</td> <td>Design filing fee</td> <td><span style="border: 1px solid black; padding: 2px;"></span></td> </tr> <tr> <td>107</td> <td>490</td> <td>207</td> <td>245</td> <td>Plant filing fee</td> <td><span style="border: 1px solid black; padding: 2px;"></span></td> </tr> <tr> <td>108</td> <td>710</td> <td>208</td> <td>355</td> <td>Reissue filing fee</td> <td><span style="border: 1px solid black; padding: 2px;"></span></td> </tr> <tr> <td>114</td> <td>150</td> <td>214</td> <td>75</td> <td>Provisional filing fee</td> <td><span style="border: 1px solid black; padding: 2px;"></span></td> </tr> <tr> <td colspan="5">SUBTOTAL (1)</td> <td><span style="border: 1px solid black; padding: 2px;">(\$ 710)</span></td> </tr> </tbody> </table>										Large Entity	Small Entity	Fee Description			Fee Paid	Fee Code	Fee (\$ )	Fee Code	Fee (\$ )	Fee Description	Fee Paid	101	710	201	355	Utility filing fee	<span style="border: 1px solid black; padding: 2px;">710</span>	106	320	206	160	Design filing fee	<span style="border: 1px solid black; padding: 2px;"></span>	107	490	207	245	Plant filing fee	<span style="border: 1px solid black; padding: 2px;"></span>	108	710	208	355	Reissue filing fee	<span style="border: 1px solid black; padding: 2px;"></span>	114	150	214	75	Provisional filing fee	<span style="border: 1px solid black; padding: 2px;"></span>	SUBTOTAL (1)					<span style="border: 1px solid black; padding: 2px;">(\$ 710)</span>
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**or number previously paid, if greater; For Reissues, see above																																																									

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Patricia D. Granados	Registration No. Attorney/Agent)	33,683	Telephone	(202) 912-2000
Signature	<i>Patricia D. Granados</i>			Date	May 7, 2001

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